

PATENT
Customer No. 22,852
Attorney Docket No. 2860.0637-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Norikazu ARAI et al.) Group Art Unit: Unassigned
Continuation of Application) Examiner: Unassigned
No. 09/487,928 filed January 20, 2000)
Filed: Herewith)
For: OPTICAL PICKUP APPARATUS,)
RECORDING/REPRODUCING)
APPARATUS PROVIDED WITH)
THE OPTICAL PICKUP)
APPARATUS, OPTICAL)
ELEMENT, AND INFORMATION)
RECORDING/REPRODUCING)
METHOD)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

PRELIMINARY AMENDMENT

Prior to the examination of the above application, please amend this application as follows:

IN THE CLAIMS:

Please cancel claims 1-136 and add new claims 137-147, as follows:

137. An optical pickup apparatus for recording and/or reproducing information for an optical disk provided with a transparent substrate, comprising:

FINNEGAN
HENDERSON
FARABOW
GARRETT &
DUNNER LLP

1300 I Street, NW
Washington, DC 20005
202.408.4000
Fax 202.408.4400
www.finnegan.com